

PATENT APPLICATION TRANSMITTAL LETTER

TO: Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Attorney Docket No.: 136967 (1306-49)

Express Mail Label No.: EL 983568658 US

Transmitted herewith for filing under

35 USC 111(a) and 37 CFR 1.53(b) (NEW UTILITY OR DESIGN PATENT APPLICATION)

as a new: ☒ utility or ☐ design patent application

for application entitled: BRUSHLESS EXCITER WITH ELECTROMAGNETICALLY  
DECOUPLED DUAL EXCITATION SYSTEMS FOR  
STARTER-GENERATOR APPLICATIONS

and invented by: Manoj Ramprasad SHAH, Mohamed OSAMA, and Luis Jose GARCES

Enclosed are:

1. ☒ Specification with 16 total pages having:
  - a. ☒ Claims numbered from 1 to 12.
  - b. ☒ Abstract
  - c. ☒ Drawing (s) (35 USC 113) as follows:
    - (1) ☒ Formal ☐ InformalTotal 5 sheets – with Figures No. 1-6.
  - d. ☒ Oath or Declaration with 3 total pages as follows:
    - (1) ☒ Newly executed and signed ☐ Unsigned
    - (2) ☐ Copy from prior application (37 CFR 1.63d) Serial No. filed on
    - (3) ☒ With Power of Attorney ☐ Without Power of Attorney
2. ☒ Assignment (Cover sheet and documents)
3. ☐ Preliminary Amendment
4. ☒ Return Receipt Postcard (MPEP 503) (should be specifically itemized)
5. ☐ Application Data Sheet
6. ☒ Information Disclosure Statement  
☒ PTO-1449 ☒ Copies of Cited Documents
7. ☐ Certified Copy of Priority Document
8. ☐ Nonpublication Request under 35 USC 122(b)(2)(B)(i) Applicant must attach form PTO/5B/35 or its equivalent
9. ☒ Additional Enclosures as follows:
  - a. ☒ Check in the amount of \$770.00.
  - b. ☒ Check in the amount of \$40.00.

10. If a continuation or divisional application:  
☐ continuation ☐ division ☐ continuation-in-part of prior application Serial No. filed on:  
☐ Cancel in this application original claims of the prior application before calculating the filing fee (at least one independent claim must be retained for filing purposes)  
☐ Amend the specification by inserting before the first line: This application is a application Serial No. filed on which is hereby incorporated by reference in its entirety.  
☐ Prior application information: Examiner Group Art Unit  
For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 1 d (2), is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

11. ☒ Filing fee calculated and transmitted:  
a. ☒ utility patent application filing fee as described below for the claims as filed less any canceled above

Large Entity

For	No. Filed	No. Extra	Rate	Fee
Basic				\$ 770.00
Total Claims	12 - 20 =	0	x \$ 18 =	\$ 0.00
Ind. Claims	3 - 3 =	0	x \$ 86 =	\$ 0.00
Mult. Dep. <input type="checkbox"/>			+ \$280 =	\$
<b>Total Filing Fee</b>				<b>\$ 770.00</b>

- b. ☐ design patent application filing fee of \$340.00  
c. ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. 50-2140 as described below. A duplicate copy of this sheet is enclosed.  
(1) Charge the amount of ☐ \$340.00 ☐ \$770.00 ☐ \$ for the filing fee.  
(2) ☒ Credit any overpayment.  
(3) ☒ Charge any additional filing fees required under 37 CFR 1.16 and 1.17.  
(4) ☐ Charge the Issue Fee set in 37 CFR 1.18 at the mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).  
d. ☒ A check in the amount of **\$770.00** for the filing fee is enclosed.

Date: **January 27, 2004**

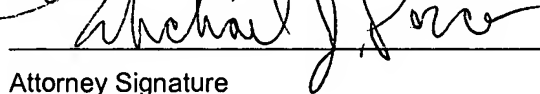
Carter, DeLuca, Farrell & Schmidt, LLP

Attorney Name: Michael J. Porco

445 Broad Hollow Road – Suite 225

Reg. No. 46,007

Melville, New York 11747



Tel: (631)501-5700 Fax: (631)501-3526

Attorney Signature

Email: mporco@cdfslaw.com

12. Correspondence Address

☐ Customer Number or Bar Code label

Or ☒ Correspondence Address below

Name: Penny A. Clarke

Address: General Electric Company

1 River Road

City Schenectady

State NY

Zip 12345

Country USA

Telephone (518) 387-5349

Fax (518) 387-7751

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 as Express Mail No. **EL 983568658 US** on **January 27, 2004** and is addressed to the Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Name: Tricia D'Agostino

Date: **January 27, 2004**

Signature: 